

1 Multiparty Selection

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8 — Abstract —

9 Given a sequence A of n numbers and an integer (target) parameter $1 \leq i \leq n$, the (*exact*) selection
10 problem is that of finding the i -th smallest element in A . An element is said to be (i, j) -*mediocre* if
11 it is neither among the top i nor among the bottom j elements of S . The *approximate* selection
12 problem is that of finding an (i, j) -mediocre element for some given i, j ; as such, this variant allows
13 the algorithm to return any element in a prescribed range. In the first part, we revisit the selection
14 problem in the two-party model introduced by Andrew Yao (1979) and then extend our study
15 of exact selection to the multiparty model. In the second part, we deduce some communication
16 complexity benefits that arise in approximate selection. In particular, we present a deterministic
17 protocol for finding an approximate median among k players.

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22 **1** Introduction

23 Given a sequence A of n numbers and an integer (selection) parameter $1 \leq i \leq n$, the
24 selection problem asks to find the i -th smallest element in A . If the n elements are distinct,
25 the i -th smallest is larger than $i - 1$ elements of A and smaller than the other $n - i$ elements
26 of A . By symmetry, the problems of determining the i -th smallest and the i -th largest are
27 equivalent. Together with sorting, the selection problem is one of the most fundamental
28 problems in computer science. Whereas sorting trivially solves the selection problem in
29 $O(n \log n)$ time, Blum et al. [7] gave an $O(n)$ -time algorithm for this problem.

30 The selection problem, and computing the median in particular, are in close relation with
31 the problem of finding the quantiles of a set. The h -th *quantiles* of an n -element set are the
32 $h - 1$ order statistics that divide the sorted set in h equal-sized groups (to within 1); see, e.g.,
33 [10, p. 223]. The h -th quantiles of a set can be computed by a recursive algorithm running
34 in $O(n \log h)$ time.

35 The selection problem, determining the median in particular, has been also considered
36 from the perspective of communication complexity in the *two-party* model introduced by
37 Andrew Yao [38]. Suppose that Alice and Bob hold subsets A and B of $[n] = \{1, 2, \dots, n\}$,
38 respectively, and wish to determine the median of the multiset $A \cup B$ while keeping their
39 communication close to a minimum. Several classic protocols going back to 1980s achieve
40 this task by exchanging $O(\log^2 n)$ bits [29, 36]. The communication complexity for this task
41 has been subsequently reduced to $O(\log n)$ bits [9, 29, 31, 35].

42 **Mediocre elements.** Following Frances Yao [39], an element is said to be (i, j) -*mediocre* if
43 it is neither among the top (i.e., largest) i nor among the bottom (i.e., smallest) j of a totally
44 ordered set S of n elements. As remarked by Yao, finding a mediocre element is closely



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45 related to finding the median, in the sense that the common goal is selecting an element that
 46 is not too close to either extreme. In particular, (i, j) -mediocre elements where $i = \lfloor \frac{n-1}{2} \rfloor$,
 47 $j = \lfloor \frac{n}{2} \rfloor$ (and symmetrically exchanged), are medians of S . Previous work on *approximate*
 48 *selection* (in this sense) includes [5, 16].

49 In Section 3 we provide a protocol to find a mediocre element near the median among k
 50 players with communication complexity $O(k \log n)$. To our best knowledge, this is the first
 51 result on the mediocre element finding problem, in terms of communication complexity. In
 52 Section 4 we outline a scenario in which computing a mediocre element near the median in
 53 the two-party model can be accomplished with communication complexity $O(1)$ —which is
 54 very attractive.

55 **Background and related problems.** Due to its primary importance, the selection problem
 56 has been studied extensively; see for instance [2, 6, 11, 13, 14, 15, 19, 20, 21, 22, 23, 24, 25,
 57 26, 34, 37, 40]. A comprehensive review of early developments in selection is provided by
 58 Knuth [28]. The reader is also referred to dedicated book chapters on selection, such as those
 59 in [1, 4, 10, 12, 27] and the more recent articles [8, 17], including experimental work [3].

60 In many applications (e.g., sorting), it is not important to find an exact median, or any
 61 other precise order statistic, for that matter, and an approximate median suffices [18]. For
 62 instance, quick-sort type algorithms aim at finding a balanced partition rather quickly; see
 63 e.g., [22, 32, 33].

64 Studying the multiparty communication complexity of exact and approximate selection is
 65 relevant in the context of distributed computing [9, 31, 36, 38].

66 **Our results.** Our main results are summarized in the three theorems stated below. We first
 67 study the communication complexity of finding the median in the multiparty setting. In this
 68 model we assume that every message by one of the players is seen by all the players (i.e., it
 69 is a broadcast); as in [29, p. 83].

70 **► Theorem 1.** *For $i = 1, \dots, k$, let player i hold a sequence (i.e., a multiset) A_i whose*
 71 *support is a subset of $[n]$ and $|A_i| = O(\text{poly}(n))$. There is a deterministic protocol for finding*
 72 *the median of $\uplus_{i=1}^k A_i$ (i.e., their multiset sum) with $O(k \log^2 n)$ communication complexity.*

73 We then study the communication complexity of finding an approximate median in the
 74 multiparty setting (under slightly stronger assumptions on the input sets).

75 **► Theorem 2.** *Let $\alpha = p/q$, where $p, q \in \mathbb{N}$, $p < q/2$, q is fixed and $0 < c \leq 1$ be a positive*
 76 *constant. For $i = 1, \dots, k$, let player i hold a set $A_i \subset [n]$ that is disjoint from any other*
 77 *player's set. Assume that $t = |\cup_{i=1}^k A_i| \geq cn$. Put $\ell = \lceil \log \frac{2q}{c} \rceil$. Then an $(\alpha t, \alpha t)$ -mediocre*
 78 *element of $\cup_{i=1}^k A_i$ can be found with $O(\ell \cdot k \log n) = O(k \log n)$ communication complexity.*

79 In particular, a $(t/3, t/3)$ -mediocre element, or a $(0.49t, 0.49t)$ -mediocre element, among
 80 k players can be determined with $O(k \log n)$ communication complexity.

81 In the final part of our paper, somewhat surprisingly, we show that (under suitable
 82 additional assumptions and a somewhat relaxed requirement) the communication complexity
 83 of finding a mediocre element in the vicinity of the median is bounded from above by a
 84 constant and is therefore independent of n .

85 **► Theorem 3.** *Let $\alpha = p/q$, where $p, q \in \mathbb{N}$, $p < q/2$, q is fixed and $0 < c \leq 1$ be a*
 86 *positive constant. Let Alice and Bob hold disjoint sets A and B of elements from $[n]$, where*
 87 *$s = |A| \leq |B| = m$. Let $t = s + m$ denote the total number of elements in $A \cup B$, where $t \geq cn$.*
 88 *Assume that t , c , and α are known to both players. Put $h = \lceil \frac{2q}{q-2p} \rceil$ and $\ell = \lceil \log \frac{12h}{c} \rceil$.*

89 Then an $(\alpha t, \alpha t)$ -mediocre element can be found (by at least one player) with $O(\ell \log h) =$
 90 $O(1)$ communication complexity. If both players return, each element returned is $(\alpha t, \alpha t)$ -
 91 mediocre; the elements found by the players need not be the same.

92 In particular, a $(t/3, t/3)$ -mediocre element, or a $(0.49t, 0.49t)$ -mediocre element, between
 93 2 players can be determined (by at least one player) with $O(1)$ communication complexity.
 94 A simple example that falls under the scenario in Theorem 3 is one where A consists of
 95 distinct odd numbers and B consists of distinct even numbers. It is worth noting that since
 96 $m/2t \geq 1/4$, if $\alpha < 1/4$, the median of B is guaranteed to be an $(\alpha t, \alpha t)$ -mediocre element
 97 of $A \cup B$. In this case, no communication is needed.

98 **Preliminaries.** A simple but effective procedure reduces the selection problem for finding the
 99 i -th smallest element out of n to one for finding the median in a slightly larger sequence. The
 100 target is the i -th smallest element in an input sequence A of size n . Assume first that $i < n/2$;
 101 in this case pad the input A with $n - 2i$ elements that are less than or equal to the minimum
 102 in the input sequence; call A' resulting sequence. Note that $|A'| = n + (n - 2i) = 2(n - i)$.
 103 It suffices to observe that the median of A' is the i -th smallest element in A : indeed,
 104 $n - 2i + i = n - i$, as required. The case $i > n/2$ is symmetric; in this case pad the input A
 105 with $2i - n$ elements that are larger than or equal to the maximum in the input sequence;
 106 call A' resulting sequence. Note that $|A'| = n + (2i - n) = 2i$. Observe that the median of
 107 A' is the i -th smallest element in A , as required. We therefore restrict our attention to the
 108 median selection problem.

109 **Notation.** Without affecting the results, the floor and ceiling functions are omitted in some
 110 instances where they are not essential. For example, we frequently write the αn -th element
 111 instead of the more precise $\lfloor \alpha n \rfloor$ -th element. Unless specified otherwise, all logarithms are in
 112 base 2.

113 For an s -bit number x and a positive integer ℓ , where $s \geq \ell$, $\text{prefix}_\ell(x)$ denotes the ℓ -bit
 114 binary prefix of x , i.e., the number formed by the first (i.e., most significant) ℓ bits of x .

115 If x belongs to a sorted list and is not the minimum, $\text{pred}(x)$ denotes its predecessor. If
 116 x belongs to a sorted list and is not the maximum, $\text{succ}(x)$ denotes its successor.

117 2 Exact selection

118 In this section we prove Theorem 1. First, we set up the problem in the context of two-party
 119 communication complexity; we start with some background. In this section, each player's
 120 input is allowed to contain duplicates. Following the literature, we refer to these (potential)
 121 multisets as sets, and the union operation should be understood as multiset sum [29, Example
 122 1.6, p. 6]. (An equivalent formulation is *merging of sequences*.)

123 2.1 Two players

124 Alice and Bob hold multisets A and B whose supports are subsets of $[n] = \{1, 2, \dots, n\}$,
 125 respectively. It is assumed that $|A|, |B| = O(\text{poly}(n))$. (In a standard setup [29, Example 1.6,
 126 p. 6], A and B are subsets of $[n]$; here we extend this setup for potentially larger multisets.)
 127 The median of the multiset $A \cup B$ is denoted by $\xi = \text{Med}(A, B)$; as usual, the median of X is
 128 the $\lceil (|X|/2) \rceil$ -th smallest element of X .

129 There is a simple binary-search type protocol due to M. Karchmer that takes $O(\log^2 n)$
 130 bits of communication; see [29, Example 1.6, p. 6]. At each round Alice and Bob have an

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131 interval $[i, j]$, $i, j \in \mathbb{N}$, that contains the median. They halve the interval (repeatedly) by
132 deciding whether the median is less than, equal to, or larger than $m = (i + j)/2$. This is
133 done by Alice sending to Bob the number of elements in A that are less than m , equal to m ,
134 and larger than m , using $O(\log n)$ bits. Bob can now determine whether the median is less
135 than, equal to, or larger than m , and sends this information to Alice using $O(1)$ bits. The
136 protocol has $O(\log n)$ rounds, each requiring $O(\log n)$ bits of communication, so the overall
137 communication complexity is $O(\log^2 n)$.

138 An alternative binary-search type protocol that takes $O(\log^2 n)$ bits of communication,
139 also due to Karchmer [29, p. 168], works as follows. Assume, without loss of generality that
140 $|A| = |B|$ and that the common size is a power of 2: this can be achieved by exchanging
141 the sizes of their inputs ($O(\log n)$ bits) and padding them with the appropriate number
142 of the minimal element (1) and the maximal element (n). The protocol works in rounds.
143 During the protocol, Alice maintains a set $A' \subset A$ of elements that may still be the median
144 (initially $A' = A$) and Bob maintains a set $B' \subset B$ of elements that may still be the median
145 (initially $B' = B$). At each round, Alice sends Bob the value a , which is the median of
146 A' , and Bob sends Alice the value b , which is the median of B' . At this point we have
147 $\min(a, b) \leq \xi \leq \max(a, b)$. If $a < b$, then Alice discards the lower half of A' (note that a is
148 part of it) and Bob discards the upper half of B' . If $b < a$, then Bob discards the lower half
149 of B' (note that b is part of it) and Alice discards the upper half of A' . In either case, this
150 operation maintains the median of $A' \cup B'$ as the desired median of $A \cup B$. It should be
151 noted that the size of $A' \cup B'$ is reduced (exactly) by a factor of 2. If $a = b$, this value is the
152 median, and if $|A'| = |B'| = 1$, then the smaller number is the median. The protocol has
153 $O(\log n)$ rounds, each requiring $O(\log n)$ bits of communication, and so the communication
154 complexity is $O(\log^2 n)$.

155 The communication complexity of finding the median can be further reduced. A subtle
156 refinement of the above protocol, due to Karchmer [29, Example 1.7, p. 6 and p. 168], and
157 revised by Gasarch [30], works with $O(\log n)$ communication complexity: its key idea is
158 to make comparisons in a bit-by-bit manner, but this requires careful bookkeeping of the
159 progress and here we omit the technical details.

160 We next describe a different (folklore) protocol, running with $O(\log n)$ communication
161 complexity, that we find simpler and subsequently refine for computing a mediocre element.
162 The protocol implements a binary-search strategy and works in rounds. Alice maintains a
163 set $A' \subset A$ of elements that may still be the median (initially $A' = A$) and Bob maintains
164 a set $B' \subset B$ of elements that may still be the median (initially $B' = B$). Alice and Bob
165 compute the medians of their current inputs (a and b , respectively). At this point we have
166 $\min(a, b) \leq \xi \leq \max(a, b)$. Alice and Bob aim to determine the order relation between a and
167 b in order to halve their input in an appropriate manner.

168 The protocol avoids sending these $\log n$ -bit numbers at each round by avoiding making a
169 direct comparison between a and b . The players have an interval $[i, j]$, $i, j \in \mathbb{N}$, that contains
170 the median (initially, $[i, j] = [1, n]$). The medians a and b are compared to the middle element
171 $h = \lfloor (i + j)/2 \rfloor$. If $a = b = h$, this element is the median of $A \cup B$ and the protocol terminates.
172 Otherwise, if a and b are split by h , i.e., $a \leq h \leq b$ or $b \leq h \leq a$, then (by transitivity of \leq),
173 the relation between a and b is determined, and Alice and Bob halves their input accordingly
174 (as in the earlier $O(\log^2 n)$ protocol). Otherwise, if a and b are on the same side of h , i.e.,
175 $a, b \leq h$ or $h \leq a, b$. For example, in the first case, the elements in the lower half of $A' \cup B'$
176 are $\leq h$ and the same holds for the median of $A' \cup B'$. As such, both players shrink their
177 common interval $[i, j]$ by (roughly) half: the resulting interval is $[i, h]$ or $[h, j]$, respectively.
178 The sets A' and B' remain unchanged. Alice and Bob communicate each of the outcomes of

179 the above tests in $O(1)$ bits. Each halving operation for A' and B' maintains the property
 180 that $\xi = \text{Med}(A \cup B) = \text{Med}(A' \cup B')$.

181 Let $\ell = \lceil \log n \rceil$. Note that after $2\ell - 1$ tests, either Alice and Bob hold singleton sets
 182 (i.e., $|A'| = |B'| = 1$), or the common interval $[i, j]$ consists of a single integer $i = j$. If
 183 $|A'| = |B'| = 1$, the smaller number is the median (or either, for equality), whereas if
 184 $i = j$, this number is the median. The number of bits exchanged before the last round of
 185 the protocol is $O(\log n)$ and is $O(\log n)$ in the last round. The resulting communication
 186 complexity is $O(\log n)$.

187 2.2 k players

188 In this subsection we show the protocol that proves Theorem 1. It is worth noting that the
 189 number of players, k is independent of n . The protocol maintains the invariant: the median
 190 of $\cup_{i=1}^k A_i$ in one round is the same for the updated sets in the next round. It is possible that
 191 the number of sets drops from k to a lower number; the protocol remains unchanged until
 192 the value $k = 2$ is reached, when the respective players apply the protocol in Subsection 2.1;
 193 recall that padding with extra elements may be needed. If the value $k = 1$ is reached, the
 194 remaining player computes the median in his/her own set and the game ends.

195 Initially, each player sorts his/her input set locally. The sorted order is used by each
 196 player in the pruning process, and if such action occurs, the sorted order is locally maintained.
 197 Each set pruning discards elements at one of the two ends of the chain (either low elements
 198 below some threshold, or high elements above some threshold).

199 The protocol roughly halves the size of at least one of the current participating sets; more
 200 precisely, for some $X \in \{A_1, \dots, A_k\}$, we have $|X'| \leq \lfloor |X|/2 \rfloor$ by the end of each round.
 201 Since the size of each set is initially $O(\text{poly}(n))$, the size of each of the k sets drops to 0 in
 202 at most $O(\log n)$ iterations and consequently, the number of rounds is at most $O(k \log n)$.
 203 (Padding with extra elements when $k = 2$ is reached conforms with this bound.)

204 Each round of the protocol works as follows. Each player (locally) finds the median of
 205 his/her current set: $x_i \in A_i$, $i = 1, \dots, k$. The following scheme regarding medians is used:
 206 assume that there are x sets of even size and y sets of odd size in the current round, where
 207 $x + y = k$; for the x sets of even size the first $\lceil x/2 \rceil$ use the lower median and the remaining
 208 $\lfloor x/2 \rfloor$ use the upper median (in some fixed, e.g., alphabetical, order). The idea of intermixing
 209 upper and lower medians is also present in [8]. (A scheme that uses only lower medians
 210 or only upper medians fails to guarantee that the median of the union is maintained after
 211 pruning, for instance if $k = 3$ and all three sets have even size; the smallest example of this
 212 kind is $|A_1| = |A_2| = |A_3| = 2$.)

213 In the first round, each player posts his/her median and set size on the communication
 214 board; this involves $O(k \log n)$ bits of communication. In the remaining rounds, two players
 215 whose sets got pruned (as further explained below) need to update their median on the
 216 communication board. Depending on the parities of the sets of these two players before
 217 and after the pruning, at most one more player may need to update his/her median to
 218 maintain the balanced scheme adopted earlier which requires $\lceil x/2 \rceil$ use the lower median and
 219 the remaining $\lfloor x/2 \rfloor$ use the upper median. Therefore, in each round, the communication
 220 complexity is $O(\log n)$.

221 All players are now able to determine the sorted order of the k medians. For simplicity,
 222 assume that after relabeling, this order is

$$223 \quad x_1 \leq x_2 \leq \dots \leq x_k. \tag{1}$$

224 It is convenient to refer to the players holding the minimum and maximum of these medians

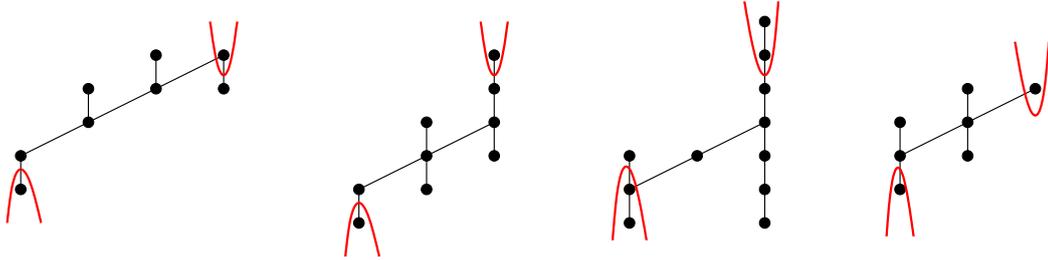
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225 as Alice and Bob and to their corresponding sets as A and B : $x_A \equiv x_1$ and $x_B \equiv x_k$ (this
 226 relabeling is only done for the purpose of analysis).

227 Let P denote the poset made by the k chains A_1, \dots, A_k , together with the relations in
 228 (1). Write $a = |A|$, $b = |B|$, and $t = \sum_{i=1}^k |A_i|$. The player holding the smaller set between
 229 Alice and Bob is in charge of the pruning operation in the current round: the same number
 230 of elements is discarded by Alice and Bob as specified below. Refer to Fig 1.

231 If $\min(a, b) = a$, Alice discards $\lceil a/2 \rceil$ elements in A (all $x \leq x_A$ when a is odd or x_A is
 232 the lower median, or all $x < x_A$ when x_A is the upper median), and Bob discards the highest
 233 $\lceil a/2 \rceil$ elements in B . Such operation is *charged* to Alice. Otherwise, if $\min(a, b) = b$, Bob
 234 discards $\lceil b/2 \rceil$ elements in B (all $x \geq x_B$ when b is odd or x_B is the upper median, or all
 235 $x > x_B$ when x_B is the lower median), and Alice discards the lowest $\lceil b/2 \rceil$ elements in A .
 236 Such operation is *charged* to Bob. It is worth noting that this scheme is feasible: i.e., if the
 237 indicated player discards the specified number of elements, the other player can also discard
 238 the same number of elements. Then the protocol continues with the next round. Each player
 239 keeps track of the players that are still in the game and their set cardinalities, as these can
 240 be deduced from the actions of the algorithm.

241 It remains to show that the same number of elements is discarded from each side of the
 242 median in each round. Let u be the number of elements in P that are above the highest
 243 discarded element of A , and v be the number of elements in P that are below the lowest
 244 discarded element of B . By slightly abusing notation, let k denote the number of players in
 245 the current round of the protocol (which may differ from the initial number). Specifically we
 246 prove the following.



■ **Figure 1** Pruning the poset P in the protocol for finding the median; Alice is the leftmost player and Bob is the rightmost player. (i) $k = 4$, $t = 8$, $u = 6$, $v = 5$; operation is charged to Alice. (ii) $k = 3$, $t = 9$, $u = 6$, $v = 7$; operation is charged to Alice. (iii) $t = 11$, $u = 6$, $v = 8$; operation is charged to Alice. (iv) $t = 7$, $u = 5$, $v = 4$; operation is charged to Bob.

247 ► **Lemma 4.** Consider a round of the protocol and assume that $k \geq 3$ and $t = \sum_{i=1}^k |A_i|$.
 248 The following inequalities for u and v hold: $u \geq \lceil \frac{t+1}{2} \rceil$ and $v \geq \lceil \frac{t}{2} \rceil$.

249 **Proof.** For u , we start by including $|A_i|/2$ corresponding to the upper half elements in the
 250 set A_i , for $i = 1, \dots, k$; this contributes $t/2$ to the sum. In addition we add $1/2$ for each set
 251 of odd size, thus $y/2$ over all odd sets. Then we add 1 for each set of even size that uses the
 252 lower median, thus $\lceil x/2 \rceil$ over all even sets. This procedure overcounts by 1 if the median
 253 x_A is the highest discarded element of A . Therefore, we have

$$254 \quad u \geq \frac{t}{2} + \frac{y}{2} + \left\lceil \frac{x}{2} \right\rceil - 1 \geq \frac{t}{2} + \frac{y}{2} + \frac{x}{2} - 1 = \frac{t+x+y-2}{2} = \frac{t+k-2}{2} \geq \frac{t+1}{2}.$$

255 Similarly, for v , we start by including $|A_i|/2$ corresponding to the lower half elements
 256 in the set A_i , for $i = 1, \dots, k$; this contributes $t/2$ to the sum. In addition we add $1/2$ for

257 each set of odd size, thus $y/2$ over all odd sets. Then we add 1 for each set of even size that
 258 uses the upper median, thus $\lfloor x/2 \rfloor$ over all even sets. This procedure overcounts by 1 if the
 259 median x_B is the lowest discarded element of B . Therefore, we have

$$260 \quad v \geq \frac{t}{2} + \frac{y}{2} + \left\lfloor \frac{x}{2} \right\rfloor - 1 \geq \frac{t}{2} + \frac{y}{2} + \frac{x-1}{2} - 1 = \frac{t+x+y-3}{2} = \frac{t+k-3}{2} \geq \frac{t}{2}.$$

261 Since both u and v are integers, we have thereby proved that $u \geq \lceil \frac{t+1}{2} \rceil$ and $v \geq \lceil \frac{t}{2} \rceil$, as
 262 required. ◀

263 **Proof of Theorem 1.** By Lemma 4, all the elements discarded from A are below the median
 264 (of the union), and all elements discarded from B are above the median. Thus in each round,
 265 the protocol preserves the median and discards the same number of elements from each side
 266 of it. This proves the invariant of the protocol. Since the protocol takes $O(k \log n)$ rounds
 267 and the communication complexity of each round is $O(\log n)$, the overall communication
 268 complexity is $O(k \log^2 n)$, as claimed. ◀

269 **3 Approximate selection with k players**

270 In this section we consider the problem of finding an $(\alpha t, \alpha t)$ -mediocre element among k
 271 players, where $\alpha \in (0, 1/2)$ is a fixed constant. Recall that in the setting of Theorem 2, the
 272 sets A_i , $i = 1, \dots, k$, are pairwise disjoint. But we do *not* assume that they have the same
 273 cardinality.

274 The protocol works in rounds. Let $a_1 = 1$ and $b_1 = n$; and note that $[a_1, b_1]$ contains the
 275 median m , i.e., the $\lceil t/2 \rceil$ -th smallest element of $\cup_{i=1}^k A_i$. For round $j = 1, 2, \dots$, the interval
 276 $[a_{j+1}, b_{j+1}]$ is obtained from the interval $[a_j, b_j]$ by halving while maintaining the following:

277 *Invariant:* For $j = 1, 2, \dots$, the interval $[a_j, b_j]$ contains the median m .

- 278 Equivalently, the invariant can be stated as follows. For $j = 1, 2, \dots$,
- 279 ■ the number of elements in $\cup_{i=1}^k A_i$ that are $\leq a_j$ is less than $\lceil t/2 \rceil$, and
 - 280 ■ the number of elements in $\cup_{i=1}^k A_i$ that are $\leq b_j$ is at least $\lceil t/2 \rceil$.

281 Specifically, in round j , let

$$282 \quad c_j = \left\lfloor \frac{a_j + b_j}{2} \right\rfloor.$$

283 Each player communicates the number of elements in his/her set that are $\leq c_j$. Since
 284 there are k players, this takes $O(k \log n)$ bits.¹ Once this is done, each player can compute
 285 independently (by adding the k individual counts) the total number of elements in $\cup_{i=1}^k A_i$
 286 that are $\leq c_i$. If the number is less than $\lceil t/2 \rceil$, then we set $[a_{j+1}, b_{j+1}] := [c_j, b_j]$, otherwise,

¹ It was suggested by an anonymous reviewer that using approximate counts would improve the communication complexity from $O(k \log n)$ to $O(k \log k + \log n)$. Specifically, let x_i be the number of elements in A_i that are $\leq c_j$. Instead of x_i which needs $O(\log n)$ bits, player i posts $y_i = \lfloor x_i k / ((0.5 - \alpha)t) \rfloor$ which can be represented in $O(\log k)$ bits. Then each player locally computes and uses $z_i = \lceil y_i (0.5 - \alpha)t / k \rceil$ to approximate the actual count x_i . Since $0 \leq x_i - z_i < (0.5 - \alpha)t/k$, the total error among all k players is at most $(0.5 - \alpha)t$ which seems to be within the mediocre range. However, we have a counterexample showing that this change will make the protocol return an element that is not $(\alpha t, \alpha t)$ -mediocre. So it appears that this “improvement” is invalid. Furthermore, we note that any inaccuracy in the counts (for example, by using even a smaller factor $\beta < 0.5 - \alpha$ in the above strategy) may still result in choosing a different half of the interval $[a_j, b_j]$ which in turn can violate the invariant that the median m is always in the current interval.

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287 i.e., the number is at least $\lceil t/2 \rceil$, then we set $[a_{j+1}, b_{j+1}] := [a_j, c_j]$. This setting maintains
 288 the invariant.

289 The protocol repeatedly halves the current interval until

$$290 \quad b_j - a_j \leq \left(\frac{1}{2} - \alpha\right) t. \quad (2)$$

291 When this occurs, since $\cup_{i=1}^k A_i$ consists of distinct elements, $[a_j, b_j]$ contains a continuous
 292 range of no more than $\left(\frac{1}{2} - \alpha\right) t$ elements of $\cup_{i=1}^k A_i$, with m being one of them. If $(0.5 - \alpha)t <$
 293 1 , then the protocol stops when $b_j - a_j = 1$ and returns b_j as the median.

294 Let z be any element of $\cup_{i=1}^k A_i$ contained in $[a_j, b_j]$. (The protocol will return one such
 295 element, as explained below.) Observe that

$$296 \quad \frac{t}{2} - \left(\frac{1}{2} - \alpha\right) t \leq \text{rank}_{\cup A_i}(z) \leq \frac{t}{2} + \left(\frac{1}{2} - \alpha\right) t, \text{ or}$$

$$297 \quad \alpha t \leq \text{rank}_{\cup A_i}(z) \leq (1 - \alpha) t. \quad (3)$$

299 The number of halving rounds needed to achieve the interval-length in (2) is at most

$$300 \quad \left\lceil \log \frac{n}{\left(\frac{1}{2} - \alpha\right) t} \right\rceil \leq \left\lceil \log \frac{n}{\left(\frac{1}{2} - \alpha\right) cn} \right\rceil = \left\lceil \log \frac{1}{\left(\frac{1}{2} - \alpha\right) c} \right\rceil = \left\lceil \log \frac{2q}{(q - 2p)c} \right\rceil$$

$$301 \quad \leq \left\lceil \log \frac{2q}{c} \right\rceil = \ell = O(1).$$

303 In each round, the k players communicate their counts, $O(k \log n)$ bits in total. Each
 304 player independently computes the total count for the midpoint of the current interval, and
 305 all players take the same decision on how to set the next interval in the halving process (with
 306 no further communication needed).

307 In the last round (i.e., when inequality (2) is satisfied), the players report in turn. If the
 308 player does not hold any element in the interval $[a_j, b_j]$, he/she outputs a zero bit and the
 309 report continues; otherwise the player outputs such an element (from his/her set) in $O(\log n)$
 310 bits and the protocol ends. The output element is a valid choice, as justified by (3).

311 The total communication complexity is therefore $O(\ell k \log n) = O(k \log n)$ bits, as claimed.
 312 This concludes the proof of Theorem 2. \blacktriangleleft

313 **4 Approximate selection with two players under special conditions**

314 Let $t = s + m$ denote the total number of elements in $A \cup B$. Here we consider the problem
 315 of finding an $(\alpha t, \alpha t)$ -mediocre element between two players, where $\alpha \in (0, 1/2)$ is a fixed
 316 constant. The protocol described in Subsection 2.1 immediately yields the following.

317 **► Corollary 5.** *The deterministic communication complexity of finding an $(\alpha t, \alpha t)$ -mediocre
 318 element in $A \cup B \subset [n]$, where $t = |A| + |B|$ and $\alpha \in (0, 1/2)$ is a fixed constant, is $O(\log n)$.*

319 Interestingly enough, this communication complexity can be brought down to a constant
 320 under slightly stronger assumptions: (i) A and B have no duplicates or common elements,
 321 and (ii) $|A \cup B| \geq cn$, for some constant $c > 0$; and a somewhat relaxed requirement: at least
 322 one of the players returns an element to the process that has invoked his/her service; each
 323 element returned is $(\alpha t, \alpha t)$ -mediocre. Note that this is a natural relaxation — if the set of
 324 one player does not contain any suitable element, it is impossible to communicate the final
 325 answer to this player within $O(1)$ complexity.

326 A natural protocol to consider would be to choose one of the median-finding protocols
 327 and execute a constant number of rounds from it. However, this seemingly promising idea
 328 does not appear to work. It is possible that one of the two sets, say A , does not contain any
 329 desired elements, namely $(\alpha t, \alpha t)$ -mediocre for the given α and so at the end of the modified
 330 protocol only B' contains desired elements (and not A'). More importantly, the players
 331 apparently have no indication of which player is the lucky one. We therefore resort to a
 332 different idea of using quantiles (more precisely, a sampling technique with a similar effect).

333 **Proof of Theorem 3.** We may assume, without loss of generality that n and $1/c$ are powers
 334 of 2 (in particular, $4n$ is also a power of 2). For $n < 8q^2/c$ Alice and Bob use the earlier
 335 $O(\log n)$ -protocol for finding the median; we therefore subsequently assume that $n \geq 8q^2/c$.
 336 In particular, since $q \geq 3$, we have $n \geq 24q/c$. We further assume, without loss of generality
 337 that $|A| = |B| = m$: this can be achieved by padding the smaller size set with the appropriate
 338 numbers of small elements and large elements as described below. In particular, the padding
 339 elements need also be distinct. (It is *not* assumed that the common size is a power of 2:
 340 since our protocol does not exactly halve the current set of each player at each round, such
 341 an assumption would be of no use.)

342 To illustrate the padding process for arbitrary set sizes, we may assume without loss of
 343 generality that the given input satisfies: $s = |A| \leq |B| = m$. Recall that s and m are known
 344 to both players. We need to pad Alice's input with $m - \lceil \frac{m+s}{2} \rceil$ small elements and $\lceil \frac{m+s}{2} \rceil - s$
 345 large elements. Alice and Bob replace their inputs by $A + n$ and $B + n$, respectively; as a
 346 result, the elements they hold are now in the range $\{n + 1, \dots, 2n\}$. Then Alice pads her
 347 input with $\{1, 2, \dots, m - \lceil \frac{m+s}{2} \rceil\} \subset [n]$ and $\{2n + 1, \dots, 2n + \lceil \frac{m+s}{2} \rceil - s\} \subset [3n] \setminus [2n]$. (Note
 348 that $\lceil \frac{m+s}{2} \rceil - s = m - \lfloor \frac{m+s}{2} \rfloor$.) The resulting sets have the same size m and $A \cup B$ consists of
 349 distinct elements in the range $[3n] \subset [4n]$. By subtracting n , the element(s) returned by the
 350 protocol are shifted back to the original range $[n]$ in the end (without explicitly mentioning
 351 it there).

352 A and B below denote the (new) padded sets (of size m). Set $h = \lceil \frac{2q}{q-2p} \rceil$ (recall that
 353 $\alpha = p/q$) and $\ell = \lceil \log \frac{12h}{c} \rceil$. By the assumption $n \geq 24q/c$ we have

$$354 \quad cn \geq 24q \geq 12 \left\lceil \frac{2q}{q-2p} \right\rceil = 12h.$$

355 Let Q_A be the set consisting of the $i \lfloor m/h \rfloor$ -th elements of A , for $i = 1, 2, \dots, h$. Similarly,
 356 let Q_B be the set consisting of the $i \lfloor m/h \rfloor$ -th elements of B , for $i = 1, 2, \dots, h$. (These sets
 357 resemble the h -th quantiles of A and B). Note that $|Q_A| = |Q_B| = h$. Since A and B consist
 358 of pairwise distinct elements, between any two elements in Q_A (or Q_B), there are at least

$$359 \quad \left\lfloor \frac{m}{h} \right\rfloor \geq \frac{m}{h} - 1 \geq \frac{t}{2h} - 1 \geq \frac{cn}{2h} - 1 \geq \frac{cn}{3h} \geq \frac{4n}{2^\ell}$$

360 elements. Represent each element x in Q_A (and Q_B) with $\log(4n) = \log n + 2$ bits; it follows
 361 that the elements in $\{\text{prefix}_\ell(x) : x \in Q_A\}$ are pairwise distinct; similarly the elements in
 362 $\{\text{prefix}_\ell(y) : y \in Q_B\}$ are pairwise distinct.

363 The protocol implements a binary-search strategy aimed at finding the median of $Q_A \cup Q_B$.
 364 Note that $|Q_A| = |Q_B| \leq h$. Alice maintains a set $Q'_A \subset Q_A$ of elements that may still be
 365 the median quantile (initially $Q'_A = Q_A$) and Bob maintains a set $Q'_B \subset Q_B$ of elements that
 366 may still be the median quantile (initially $Q'_B = Q_B$). The invariant $|Q'_A| = |Q'_B|$ will be
 367 maintained. At each round, Alice and Bob compute the medians of their current sets (x_A
 368 and x_B , respectively). If $\text{prefix}_\ell(x_A) < \text{prefix}_\ell(x_B)$ or $\text{prefix}_\ell(x_A) > \text{prefix}_\ell(x_B)$ the

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369 protocol continues with Alice and Bob halving their input as in the median-finding protocol.
 370 Specifically, if $\text{prefix}_\ell(x_A) < \text{prefix}_\ell(x_B)$ the protocol discards the $\lfloor |Q'_A|/2 \rfloor$ lower elements
 371 of Q'_A and the $\lfloor |Q'_B|/2 \rfloor$ upper elements of Q'_B . The equality case $\text{prefix}_\ell(x_A) = \text{prefix}_\ell(x_B)$
 372 is addressed below. Observe that the above comparison can be resolved by exchanging ℓ bits
 373 in each round.

374 If $\text{prefix}_\ell(x_A) = \text{prefix}_\ell(x_B)$, and $|Q'_A| = |Q'_B| \geq 3$, we have $\text{prefix}_\ell(\text{pred}(x_A)) <$
 375 $\text{prefix}_\ell(x_B)$, and the protocol discards the $\lfloor (|Q'_A| - 1)/2 \rfloor$ lower elements of Q'_A and the
 376 $\lfloor (|Q'_B| - 1)/2 \rfloor$ upper elements of Q'_B . Note that this is a slight but important deviation from
 377 the standard median-finding protocol; it is aimed at handling prefix equality by discarding
 378 possibly one fewer element by each player. With this choice, the median of $Q_A \cup Q_B$ remains
 379 the median of $Q'_A \cup Q'_B$; and the invariant $|Q'_A| = |Q'_B|$ is maintained. Since the sets the
 380 players hold are almost halved at each round, the protocol terminates in $O(\log h)$ rounds, as
 381 specified below.

382 If $|Q'_A| = |Q'_B| = 2$, and $\text{prefix}_\ell(x_A) \neq \text{prefix}_\ell(x_B)$, the protocol continues with each
 383 player halving his/her own current set accordingly. If $|Q'_A| = |Q'_B| = 2$, and $\text{prefix}_\ell(x_A) =$
 384 $\text{prefix}_\ell(x_B)$, the protocol terminates with each player output his/her number (x_A and x_B ,
 385 respectively). Observe that in this case, the median of $Q_A \cup Q_B$ is x_A or x_B and it will be
 386 shown below, see (7), that both elements are $(\alpha t, \alpha t)$ -mediocre.

387 If $|Q'_A| = |Q'_B| = 1$ and $\text{prefix}_\ell(x_A) \neq \text{prefix}_\ell(x_B)$, the protocol terminates with
 388 the player that holds the smaller of x_A and x_B output that number. If $|Q'_A| = |Q'_B| = 1$
 389 and $\text{prefix}_\ell(x_A) = \text{prefix}_\ell(x_B)$, the protocol terminates with each player output his/her
 390 number (x_A and x_B , respectively). It will be shown below, see (7), that both elements are
 391 $(\alpha t, \alpha t)$ -mediocre.

392 Recall that $\ell = \lceil \log \frac{12h}{c} \rceil$. If $x, y \in [3n]$ and $\text{prefix}_\ell(x) = \text{prefix}_\ell(y)$ then

$$393 \quad |x - y| \leq \frac{3n}{2^\ell} \leq \frac{cn}{4h} \leq \frac{t}{4h}. \quad (4)$$

394 Recall that the median of $Q_A \cup Q_B$ is in $Q'_A \cup Q'_B$ in the last round of the protocol. Since
 395 all elements are distinct, for x_A and x_B above, if $\text{prefix}_\ell(x_A) = \text{prefix}_\ell(x_B)$, Inequality (4)
 396 implies

$$397 \quad |\text{rank}_{A \cup B}(x_A) - \text{rank}_{A \cup B}(x_B)| \leq \frac{t}{4h}. \quad (5)$$

398 Assume that the median of $Q_A \cup Q_B$ is $x_A \in Q_A$; then Alice returns x_A . In addition, if
 399 $\text{prefix}_\ell(x_A) = \text{prefix}_\ell(x_B)$, Bob also returns $x_B \in Q_B$. Since x_A is the median of $Q_A \cup Q_B$,
 400 it is the h -th smallest element of $Q_A \cup Q_B$. As such (by construction): (i) x_A is \geq than at
 401 least

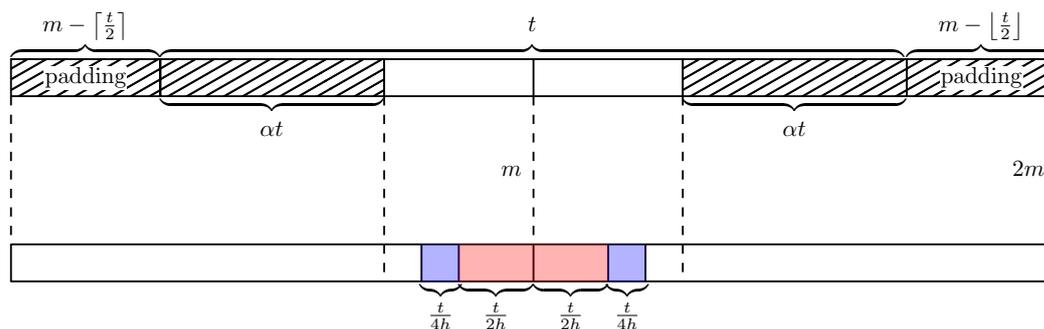
$$402 \quad h \left\lfloor \frac{m}{h} \right\rfloor \geq h \left(\frac{m}{h} - 1 \right) = m - h$$

403 elements of $A \cup B$; and similarly, (ii) x_A is \leq than at least $m - h$ elements of $A \cup B$. Note
 404 that the median of $A \cup B$ has rank m and is the same as the median of the original union of
 405 the two sets. See Fig. 2.

406 Observe that $h = \lceil \frac{2q}{q-2p} \rceil \leq 2q$ which yields $2h^2 \leq 8q^2 \leq cn \leq t$ (recall that $n \geq 8q^2/c$).
 407 This implies

$$408 \quad |\text{rank}_{A \cup B}(x_A) - m| \leq h \leq \frac{t}{2h}. \quad (6)$$

409 Recall that if $\text{prefix}_\ell(x_A) = \text{prefix}_\ell(x_B)$, Bob also returns $x_B \in Q_B$ and Inequality (5)
 410 applies. From (5) and (6) we deduce that the rank of any output element z satisfies (recall



■ **Figure 2** Above: Illustration of the original union of the two input sets with padding elements. The players need to find elements from the unshaded region in the middle. Below: The median x of $Q_A \cup Q_B$ lies within the red region. If the other player has an element y such that $\text{prefix}_\ell(y) = \text{prefix}_\ell(x)$, then y lies in the union of the red and blue regions, therefore it is also a valid output.

411 that $t = s + m$):

$$412 \quad |\text{rank}_{A \cup B}(z) - m| \leq \frac{t}{4h} + \frac{t}{2h} \leq \frac{t}{h} \leq \frac{(q-2p)t}{2q} = \left(\frac{1}{2} - \alpha\right)t. \quad (7)$$

413 As such, each output element z is an $(\alpha t, \alpha t)$ -mediocre element of the original union of
 414 the two sets. The elements returned are x_A or x_B (or both). Alice may return x_A and Bob
 415 may return x_B to the processes that have invoked their service; the elements returned by
 416 the players could be different. Since $q = O(1)$, we have $h, \ell = O(1)$. The number of bits
 417 exchanged is $\ell + O(1) = O(1)$ in each of the $O(\log h)$ rounds of the protocol. The overall
 418 communication complexity is $O(\ell \log h) = O(1)$, as claimed. ◀

419 5 Conclusion

420 An obvious question is whether the three-party communication complexity of median com-
 421 putation can be reduced to $O(\log n)$. A more general question is whether the k -party
 422 communication complexity of median computation, $k \geq 3$, can be reduced to $O(k \log n)$. We
 423 believe that the answers to both questions are in the negative. Another interesting question
 424 regarding the two-party communication complexity of approximate selection is whether the
 425 conditions in Theorems 2 and 3 can be relaxed.

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